Metabolic exercise test data combined with cardiac and kidney indexes, the MECKI score: A multiparametric approach to heart failure prognosis

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Objective: We built and validated a new heart failure (HF) prognostic model which integrates cardiopulmonary exercise test (CPET) parameters with easy-to-obtain clinical, laboratory, and echocardiographic variables.

Background: HF prognostication is a challenging medical judgment, constrained by a magnitude of uncertainty.

Methods: Our risk model was derived from a cohort of 2716 systolic HF patients followed in 13 Italian centers. Median follow up was 1041 days (range 4–5185). Cox proportional hazard regression analysis with stepwise selection of variables was used, followed by cross-validation procedure. The study end-point was a composite of cardiovascular death and urgent heart transplant.

Results: Six variables (hemoglobin, Na+, kidney function by means of MDRD, left ventricle ejection fraction VO2, Oxygen uptake; HR, Heart rate; TV, Tidal Volume; RR, Respiratory Rate; VE, Ventilation; RER, Respiratory exchange ratio; AT, Anaerobic threshold; VCO2, Carbon dioxide consumption; K+, Potassium; Na+, Sodium.

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HF, Heart Failure; SHFM, Seattle Heart Failure Model; CPET, Cardiopulmonary exercise test; HFSS, HF survival score; LVEF, Left Ventricular Ejection Fraction; LVesVt, Left Ventricular End-Systolic Volume; LVdVt, Left Ventricular End-Diastolic Volume; BMI, Body mass index; NYHA, New York Heart Association; PM, Pace maker; ICD, Implantable cardioverter–defibrillator; CRT, Cardiac resynchronization therapy; Hb, Hemoglobin; MDRD, Modification of Diet in Renal Disease; BNP, Brain Natriuretic Peptide; VO2, Oxygen uptake; HR, Heart rate; TV, Tidal Volume; RR, Respiratory Rate; VE, Ventilation; RER, Respiratory exchange ratio; AT, Anaerobic threshold; VCO2, Carbon dioxide consumption; K+, Potassium; Na+, Sodium.

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1. Introduction

The time course of heart failure (HF) is often insidious, and it is influenced by several factors, including functional, neurohumoral and compensatory mechanisms, concomitant diseases as well as psychological well-being, environmental and genetic factors with variable expression and penetrance. Therefore, prognostication is a challenging medical judgment, constrained by a magnitude of uncertainty. Cardiopulmonary exercise test (CPET) is a well-recognized, valuable and accurate tool for risk stratification in HF. Among several CPET-derived variables, peak VO2 [12], VE/VCO2 relationship [1,3–5], and their combination [6,7] have been identified as predictors of HF prognosis, and they are used for timing of heart transplant [2,8,9]. Although the wealth of information derived from CPET for HF prognosis has been informative and exciting, risk stratification with CPET-derived parameters need to be integrated into clinical practice, and combining them with demographic data, medical history, laboratory values and HF treatment background might be helpful. This aspect has been scantily investigated and analyzed. Indeed, at present, only HF survival score (HFSS) [10] and HF-Action Predictive Risk Score Model [11] include peak VO2 (the former) and exercise duration at CPET (the latter), among other clinical parameters [10,12–14]. However, neither HF-Action Predictive Risk Score Model nor HFSS include ventilatory parameters [3,4] and hemoglobin [15,16], both holding a prognostic value in HF.

Hence, the purpose of the present work was to build a new risk score for systolic HF, integrating measures with potential prognostic value from CPET with established clinical, laboratory and echocardiographic risk factors, in a sizeable multicenter cohort, recruited and followed by experienced HF units in order to identify patients at risk of cardiovascular death and urgent heart transplant. To do so, we used a robust database derived from leading heart failure clinics in Italy.

2. Methods

2.1. Population

Study cohort consisted of 2716 consecutive systolic HF patients, recruited and prospectively followed in 13 Italian HF centers (see Appendix 2). The first patient was recruited in February 1993 and the last one in September 2009. At enrollment, patients were evaluated, and clinical history, physical, laboratory, ECG, echocardiographic, and CPET data were collected. Inclusion criteria were: previous or present HF symptoms (NYHA functional classes I–III, stage C of ACC/AHA classification) and former documentation of left ventricular systolic dysfunction (left ventricular ejection fraction, LVEF<40%), stable clinical conditions with unchanged medications for at least three months, ability to perform a CPET, no major cardiovascular treatment or intervention scheduled. Furthermore, only subjects who performed what they considered a maximal effort, regardless of the respiratory quotient reached, were included in the present analysis. Other exclusion criteria were: history of pulmonary embolism, moderate-to-severe aortic and mitral stenosis, pericardial disease, severe obstructive lung disease, exercise-induced angina and significant ECG alterations [17] or presence of any clinical co-morbidity interfering with exercise performance.

2.2. Clinical, laboratory and echocardiographic evaluations

We recorded NYHA class, patients’ weight and height. HF etiology was defined as: dilative ischemic and non ischemic cardiomyopathy (on the basis of either presence or absence of relevant stenosis at coronary imaging, respectively), or cardiomyopathy secondary to valvular disease, and to other causes. Hemoglobin, serum sodium (Na+), potassium (K+), and creatinine were measured. We calculated glomerular filtration rate as MDRD by using the following formula: 186.3*(crea)−1.154*(Age)−0.203*0.75 for women [18]. We recorded left ventricle end-systolic (LVesV) and end-diastolic volumes (LVEDV) and LVEF (Simpson rule) on echocardiography [19].

2.3. Cardiopulmonary exercise test

All CPETs were performed using either an electronically braked cycle-ergometer (2423 patients) or a treadmill (293 patients); for a proper comparison, VO2 data measured on treadmill were reduced by 10% [20]. A ramp protocol and a modified Bruce protocol was applied in CPET with cycle-ergometer and treadmill, respectively. The exercise protocol was set to achieve peak exercise in ~10 min [21]. In the absence of clinical events, CPET was interrupted when patients stated that they had reached maximal effort. We performed breath-by-breath analysis of respiratory gases and ventilation. Anaerobic threshold was measured by V-slope analysis of VO2 and VCO2, and it was confirmed by ventilatory equivalents and end-tidal pressures of CO2 and O2. If no agreement was obtained, AT was considered as not identified. Exercise-induced periodic breathing was defined as a cyclic fluctuation of ventilation [22]. VO2 work rate relationship was measured throughout the entire exercise (cycle-ergometer). VE/VCO2 slope was calculated as the slope of the linear relationship between VE and VCO2 from 1 min after the beginning of the loaded exercise and the end of the isocapnic buffering period. Peak exercise oxygen pulse was calculated as peak VO2/peak heart rate (HR). Predicted values of VO2 and HR were calculated as: peak VO2 pred = (Height–Age)+20 if male, = (Height–Age)+14 if female; peak HR pred = (220–Age), if male, = (210–Age) if female [20].

2.4. Patients’ follow-up and prognosis

Patient’s follow-up was carried out according to the local HF program in a theoretically endless fashion. Follow-up ended with the last clinical evaluation in the center where the patient had been enrolled, or with the patient’s death (441 cardiovascular death and 68 non cardiovascular death) or urgent cardiac transplantation (n=88). The study end-point was the composite of cardiovascular death or urgent heart transplant, including in the former stroke. Events were recorded at the follow-up visit. If a patient did not show up at the scheduled follow-up visit, he or his family was contacted by phone call, and the visit was rescheduled according to the patient’s desire. If a patient died outside the hospital where he was on follow-up, care was taken to obtain medical records of the event and a report of the cause of death. Patients who died of non-cardiovascular related causes were considered as censored at the time of the event.

2.5. Data management

Since several possible sources of error in data recording and transfer might occur throughout a multicenter research study, a data quality control was set up. Centro Cardiologico Monzino (P. A. and G.C.) was the data director center, responsible for data collection, while individual investigators were responsible for their own records. Trained investigators were selected within the participating centers. A computerized collection data form was created and approved, and clear rules for fill in were established. Regular feedback to investigators was organized by the data director center: in addition, two “external” experts (M.P. and D.M.), not involved in patients’ recruitment, reviewed all the patients’ data. Checking data quality included range and consistency checks and checking for missing data. Developing boundaries for out-of-range values required a collaborative effort between the data manager and the investigators, and highest-priority missing data were discussed. Medical personnel who collected the patients’ data and defined the cause of death were blinded to the variables used in the MECKI score. All computerized data were stored on a secure network that limited access to authorized individuals.

3. Statistical analysis

Categorical variables were presented, such as frequency and percentage, and they were compared by chi-square test. Numerical variables were summarized as means ± SD, or medians and interquartile
range where their distribution was markedly non normal, specifically LVEFDV and LVEFSDV. Unpaired t-test or non parametric Mann–Whitney test were used when appropriate for between-group comparison. A p < 0.05 was used to define statistical significance.

Predictors for the study end-point were identified by fitting a Cox proportional hazard regression model with stepwise selection of variables measured at starting date. In order to account for the potential heterogeneity among clinical sites, the analysis was stratified by recruiting center. Data from centers with < 200 (n = 6) recruited patients were grouped. The initial set of predictors undergoing selection is reported in Table 1. In order to avoid a spurious selection of predictors, due to the fact that the model was built and tested on the same sample, albeit obtained from several centers, a cross-validation procedure was employed: for 200 times, the sample was randomly split in half, and the model including the independent predictors was selected in the first half (training set) and subsequently tested on the second half (testing set). For each variable, we computed the number of times it was selected in the first step, and the number of times it was confirmed (deemed as significant) in the second step. Among the considered covariates (Table 1), those that were selected and confirmed at least 70% of the times were considered as independent outcome predictors. A risk score for two-year mortality (or urgent transplant) was then devised as follows: all patients with a censoring time shorter than 2 years were excluded, and all patients with events occurring after 2 years were considered as censored. A logistic regression model was employed, including all the previously selected and validated independent predictors of outcomes. The two-year risk score (predicted probability of event) was computed for each subject by using the estimated logistic coefficients. The score was validated by dividing the sample in deciles of risk and by comparing the observed events with the predicted events in each decile (Hosmer–Lemeshow test). The capacity of the score to correctly classify cases and controls was quantified by the area under the ROC curve. Again, to avoid overestimates, the sample was randomly split in two, the coefficients were estimated in the first half, and the score was tested in the second half. Analogously, we computed ROC curves for risk scores predicting events occurring within 1, 3, and 4 years. All analyses were performed using SAS statistical package v.9.2 (SAS Institute Inc., Cary, NC).

4. Results

Patients’ demographic, laboratory, echocardiographic, CPET data and univariate analysis of the analyzed parameters vs. the study end point (cardiovascular death+heart transplant).

Table 1

<table>
<thead>
<tr>
<th>Age (years)</th>
<th>Mean ± SD</th>
<th>Median (75–25 interquartile)</th>
<th>HR (95% CI)</th>
<th>Upper CI</th>
<th>Lower CI</th>
<th>ProbChiSq</th>
</tr>
</thead>
<tbody>
<tr>
<td>Males/ females</td>
<td>60.3 ± 12.4</td>
<td>2716</td>
<td>1.267</td>
<td>1.142</td>
<td>1.405</td>
<td>&lt;.0001</td>
</tr>
<tr>
<td>Height (cm)</td>
<td>170 ± 8</td>
<td>2708</td>
<td>1.091</td>
<td>1.093</td>
<td>1</td>
<td>&lt;.0001</td>
</tr>
<tr>
<td>BMI (kg/m²)</td>
<td>26.5 ± 4.3</td>
<td>2707</td>
<td>0.815</td>
<td>0.742</td>
<td>0.895</td>
<td>&lt;.0001</td>
</tr>
<tr>
<td>NYHA class</td>
<td>2.2 ± 0.6</td>
<td>2716</td>
<td>2.257</td>
<td>1.951</td>
<td>2.61</td>
<td>&lt;.0001</td>
</tr>
<tr>
<td>HF etiology</td>
<td>0.7</td>
<td>1273</td>
<td>1</td>
<td>1.05</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Idiopathic</td>
<td>1273</td>
<td>1.05</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Ischemic</td>
<td>1240</td>
<td>1.05</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Valvular</td>
<td>72</td>
<td>1.05</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Other</td>
<td>130</td>
<td>1.05</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Diuretics</td>
<td>80%</td>
<td>2408</td>
<td>0.527</td>
<td>0.459</td>
<td>0.605</td>
<td>&lt;.0001</td>
</tr>
<tr>
<td>Antalostane drugs</td>
<td>49%</td>
<td>2408</td>
<td>0.527</td>
<td>0.459</td>
<td>0.605</td>
<td>&lt;.0001</td>
</tr>
<tr>
<td>Anticoagulants</td>
<td>2.3</td>
<td>2408</td>
<td>0.527</td>
<td>0.459</td>
<td>0.605</td>
<td>&lt;.0001</td>
</tr>
<tr>
<td>LVeDV</td>
<td>69 ± 14</td>
<td>2274</td>
<td>1.162</td>
<td>1.043</td>
<td>1.296</td>
<td>&lt;.0001</td>
</tr>
<tr>
<td>LVeDS</td>
<td>8.0 ± 2.7</td>
<td>2199</td>
<td>0.67</td>
<td>0.595</td>
<td>0.754</td>
<td>&lt;.0001</td>
</tr>
<tr>
<td>TTest</td>
<td>0.0001</td>
<td>0.002</td>
<td>0.0001</td>
<td>0.0001</td>
<td>0.0001</td>
<td>0.0001</td>
</tr>
</tbody>
</table>

4.1. Prognosis

The median follow up was 1041 days (range 4–5185 days, 75–25 percentile: 1811–513), being the shorter follow up for an alive patient 32 days. Cardiovascular death + urgent cardiac transplant occurred in 529 cases (18%, 441 cardiac death and 88 urgent cardiac transplant): 138, 110, 79, 51 events in the first, second, third and fourth year, respectively. In Table 1, univariate analysis of the analyzed
parameters vs. the study end-point is reported. At multivariable Cox analysis with subsequent cross validation, only hemoglobin, Na+, MDRD, LVEF, peak VO2 (% predicted), and VE/VCO2 slope resulted independently related to prognosis (Table 2). On the basis of these 6 continuous variables, a score of Metabolic Exercise and Cardiac and Kidney Indexes, the MECKI score, was developed to identify the risk of cardiovascular death + urgent heart transplant. A high concordance was detected between two-year predicted and observed risk of cardiovascular death + urgent heart transplant. A high concordance was observed: p=0.0053 remained independently related to prognosis. However, presence of some drug categories was related to prognosis at uni-variable analysis (Table 4), but at multivariable Cox analysis, only beta-blockers (HR = 0.692, 95% HR confidence 0.542–0.883, p = 0.0031) and digitalis (HR = 1.433, 95% HR confidence 1.113–1.845, p = 0.0053) remained independently related to prognosis. However, both beta-blockers and digitalis failed at cross-validation procedure. If beta-blockers and digitalis were forced in the MECKI score, the AUC curve at 2 years changed from 0.789 to 0.791.

A free web-based calculator was developed to allow an easy and convenient calculation of the estimated risk of death. http://www.cardiologicomonzino.it/Inglese/News/Pages/UserNewsHome.aspx

5. Discussion

Many HF risk stratification tools were developed, each differing in the type of sample from which it was derived and validated, the variable used for risk stratification, their utility in predicting mortality at varying time points, and their ease of use. However, their application in daily clinical practice is limited by their complexity [23], albeit a few easier to use approaches have been proposed [24] or because they are considered as suboptimal in particular settings [23]. We developed a new predictive model built and validated on contemporary HF population, based on simple parameters selected from several measurements of clinical status, cardiac kidney function, anemia, fluid homeostasis and exercise performance. We aimed, to help clinicians in the risk stratification of ambulatory HF patients. Ultimately, several CPET and prognosis studies in systolic HF have provided, improved, and updated risk stratification. Since prediction models should be designed to improve outcome definition in individual patients, the integration of CPET risk factors with demographic data, medical history, laboratory values, and HF treatment background is crucial. Unfortunately, the combination between intracardiac and extra-CPET risk HF data has been poorly investigated. The MECKI score fills the gap, and its clinical insight and originality lies in the ability to amalgamate a modern CPET risk interpretation with easily accessible HF predictive data. Thus, the clinical difficulty of merging intra- and extra-ergospirometry laboratory risk parameters is surmounted.

Table 1 (continued)

<table>
<thead>
<tr>
<th>Parameter</th>
<th>Mean±SD</th>
<th>Median (75–25 interquartile)</th>
<th>N (%)</th>
<th>HR CI Lower</th>
<th>HR CI Upper</th>
<th>ProbChiSq</th>
</tr>
</thead>
<tbody>
<tr>
<td>VE/VCO2 slope</td>
<td>33.0 ± 7.7</td>
<td>2526 1.571 1.465 1.685 &lt;.0001</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>VO2/wk slope</td>
<td>9.4 ± 2.0</td>
<td>1689 0.868 0.745 1.01 0.067</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Atrial fibrillation</td>
<td>448 1.395 1.118 1.741 0.0033</td>
<td>Periodic 11%</td>
<td>540 1.19 1.00 1.179 0.03</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>
| BMI = body mass index, NYHA = New York Heart Association, HF = heart failure, PM = pacemaker, ICD = implantable cardioverter-defibrillator, CRT = cardiac resynchronization therapy; Hb = hemoglobin, Na++ = sodium, K+ = potassium, Crea = creatinine, MDRD = modification of diet in renal disease, BNP = brain natriuretic peptide, LVEF = left ventricular ejection fraction, VuvSeV = left ventricular end-systolic volume, VuvPeV = left ventricular end-diastolic volume, VO2 = oxygen uptake, HR = heart rate, TV = tidal volume, RR = respiratory rate, VE = ventilation, RER = respiratory exchange ratio, AT = anaerobic threshold, VCO2 = carbon dioxide consumption. Italics: medians and interquartile range. *Bike ergometer.

Table 2

<table>
<thead>
<tr>
<th>Parameter</th>
<th>Pr&gt;ChiSq</th>
<th>Hazard ratio</th>
<th>95% hazard ratio confidence limits</th>
<th>Selection</th>
<th>Reconfirmation</th>
</tr>
</thead>
<tbody>
<tr>
<td>Peak VO2 (% pred)</td>
<td>&lt;.0001</td>
<td>0.708</td>
<td>0.614 0.816 84.0% 93.5%</td>
<td></td>
<td></td>
</tr>
<tr>
<td>VE/VCO2 slope</td>
<td>&lt;.0001</td>
<td>1.291</td>
<td>1.165 1.431 85.0% 95.3%</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Hb (g/dL)</td>
<td>0.0008</td>
<td>0.827</td>
<td>0.74 0.924 85.5% 94.2%</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Na⁺ (mmol/L)</td>
<td>&lt;.0001</td>
<td>0.796</td>
<td>0.719 0.881 96.5% 92.7%</td>
<td></td>
<td></td>
</tr>
<tr>
<td>LVEF (%)</td>
<td>&lt;.0001</td>
<td>0.699</td>
<td>0.61 0.802 90.0% 93.9%</td>
<td></td>
<td></td>
</tr>
<tr>
<td>MDRD (ml/min)</td>
<td>&lt;.0001</td>
<td>0.758</td>
<td>0.673 0.854 66.0% 81.1%</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

See Table 1 for abbreviations.

Fig. 1. Comparison between two-year predicted and observed risk of death in the entire population, stratified by decile of risk. Relevant concordance was observed: p = 0.36 at Husmer–Lemeshow Test. The straight line is an identity line.
by the MECKI score, since it allows an appropriate risk definition before the HF patient leaves the ergospirometry laboratory.

5.1. The MECKI score

The MECKI score is the result of a merging effort of 13 qualified HF centers with significant experience in CPET. Our study population consists of systolic HF patients capable to perform a CPET, excluding, therefore, subjects in NYHA class IV at enrollment as well as subjects who failed to complete a maximal CPET. Out of the 6 indexes independently related to prognosis at multivariable analysis, 2 are CPET-derived parameters, either related to the cardiovascular (peak VO2) or to the ventilatory (VE/VCO2 slope) response to effort. Beside these parameters, the MECKI score uses an echocardiographic index of cardiac systolic function (LVEF), 2 indexes of harmful comorbidities (anemia and renal insufficiency: hemoglobin concentration and MDRD, respectively), and one index of fluid balance (serum Na+).

All the variables included within the MECKI score were continuous values, so that the actual weight of each one was considered. The 6 parameters had a significant impact on the score calculation (Table 2), their distribution significantly overlapped (Table 3), confirming the need to pool the available information for an accurate prognosis. Notably, all prognostic parameters were evaluated by using a cross-validation procedure, splitting the population in two halves and considering as significant only parameters which were selected and confirmed in at least 70% of the 200 times they were tested.

We analyzed 3 different units of VO2 at peak exercise, and specifically peak VO2 as L/min, as mL/min/kg, and as % of predicted. The last one was the only peak VO2 measurement included in the MECKI score. Conversely, peak VO2% pred. has been preferred to peak VO2 absolute measurements in only a few reports [25–27]. The presence of gender and age in peak VO2% pred. [20], as well as in the MDRD calculation [18], is among the possible reasons why both disappear from the final score. In any case, if gender and age are forced in the MECKI score, their additive value is negligible.

We calculated the VE/VCO2 slope up to the end of the isocapnic buffering period. The average VE/VCO2 value observed in our population

### Table 3
Mean ± SD of the variables included in the MECKI score divided according to risk class at 2 years, <5%, 5–10%, 10–15%, and >15%.

<table>
<thead>
<tr>
<th></th>
<th>LVEF (%)</th>
<th>Peak VO2 (%)</th>
<th>Na+ (mmol/L)</th>
<th>Hb (g/dL)</th>
<th>MDRD (mL/min)</th>
<th>VE/VCO2 slope</th>
</tr>
</thead>
<tbody>
<tr>
<td>&lt;5%</td>
<td>36.74±7.35</td>
<td>61.92±14.16</td>
<td>140.4±3.1</td>
<td>13.9±1.5</td>
<td>77.09±20.91</td>
<td>28.67±4.6</td>
</tr>
<tr>
<td>5–10</td>
<td>29.28±7</td>
<td>51.55±11.29</td>
<td>139.7±3.2</td>
<td>13.4±1.6</td>
<td>68.89±20.26</td>
<td>32.62±5.13</td>
</tr>
<tr>
<td>10–15</td>
<td>26.88±7.08</td>
<td>45.6±10.25</td>
<td>138.8±3.2</td>
<td>13.3±1.5</td>
<td>62.94±20.77</td>
<td>34.86±5.45</td>
</tr>
<tr>
<td>&gt;15%</td>
<td>23.75±6.77</td>
<td>38.2±9.94</td>
<td>137.7±3.7</td>
<td>13.0±1.64</td>
<td>56.43±20.21</td>
<td>41.98±7.96</td>
</tr>
</tbody>
</table>

See Table 1 for abbreviations.

### Fig. 2
Kaplan–Meier survival curves stratified according to risk class at 2 years. MECKI score: <5% (906 cases), 5–10% (449 cases), 10–15% (236 cases), and >15% (418 cases). The curves were arbitrarily ended at 6 years.
was 33, which is the value usually considered as the threshold between poor and favorable prognosis in HF [3,4,28]. Our observations agree with several previous studies that have reported the combined role of peak VO2 and VE/VCO2 in predicting HF prognosis [6,7].

In the present population, periodic breathing was significantly related to prognosis as previously reported [5,22,29] at univariate but not at multivariable analysis. However, albeit we used a simple definition of periodic breathing, its assessment may be difficult in some cases. Moreover, it is possible, because of the multifactorial genesis of periodic breathing, that the presence of other non-CPET-derived parameters in the MECKI score, such as LVEF, hemoglobin, Na+ and MDRD, not previously evaluated, overcomes the role of periodic breathing.

It is not surprising that LVEF remains significantly related to prognosis [10,23,30]. Indeed, several reports, some of which date back to many years ago, suggested that LVEF, representing the extent of the cardiac damage, has a prognostic value in HF, regardless of peak VO2 [30].

Low hemoglobin was associated with an increased risk of death in our population, confirming the report of anemia as a risk factor in HF [15,16]. Hemoglobin effect on HF prognosis was not cancelled by VO2, even if hemoglobin is a major determinant of peak VO2 [31]. Na+ and renal function are both well-known prognostic elements for HF [18,32–34]. We showed that they maintain a prognostic role even in the combined analysis of several variables. As expected, MDRD was superior to serum creatinine level, since it also considers gender and age [18].

The role of gender in HF prognosis is important, but definite data are lacking [35,36]. In the present work, gender did not reach, at multivariable analysis, statistical significance, but it is considered in other parameters included in the MECKI score, such as peak VO2, LVEF, hemoglobin, Na+ and MDRD. We showed a better HF prognosis in women compared to men. In the few previous reports available, women, albeit a lower peak VO2, showed a better HF prognosis compared to men [37,38]. Interestingly, peak VO2 was previously reported as an absolute value [37,38]. In the present report, peak VO2 as an absolute value is lower in women compared to men, and higher if reported as % of predicted.

Differently from previous reports [10,23,35,39], HF etiology was not related to prognosis, albeit the presence of coronary artery disease was associated to a worse prognosis at univariable analysis. At multivariable analysis, the presence of digitalis and beta-blockers at study run-in was negatively and positively associated to prognosis, respectively, while this is not the case for other drugs, such as ACE-inhibitors, diuretics, ARB-blockers [10,23,40]. We believe that this is related to the optimal treatment of the study population, and the presence of digitalis and the absence of beta-blockers merely reflect a more severe HF. Indeed, only a minority of patients, 19%, were not on beta-blocker treatment at study run-in. These patients had severe heart failure as demonstrated by peak VO2 = 13.9 ± 4.2 and VE/VCO2 slope = 34.7 ± 8.9. Similarly, patients treated with digitalis, 33% of cases, had a higher incidence of atrial fibrillation (25%) and a peak VO2 = 14.1 ± 4.2 and VE/VCO2 slope 33.4 ± 7.8. Moreover,
it is recognized that we have not performed a drug dose analysis which might have influenced the MECKI score [40]. Indeed, it is possible that several patients of the present study were not in drug target dose [41]. However, because the presence of beta-blockers and digitalis failed at cross-validation procedure, and because drug treatment is a skewed decision and not an independent variable, we decided not to add digitalis and beta-blockers into the MECKI score. We were also supported in this approach by the finding that adding both beta-blockers and digitalis to the MECKI score has a negligible effect on the AUC.

5.2. Comparison with other HF scores and clinical implications

Several HF scores have been suggested and investigated [10,11,23], but their predictive accuracy is variable, depending on the illness course, the background HF therapy, i.e. beta-blockers prescription [23], device implantation [11,23], the type of events (i.e. inclusion of hospitalization) and the duration of follow up. Hence, a comprehensive comparative analysis between HF scores is difficult and, sometimes, not exhaustive [43].

HFSS was the first multivariate HF model, and it was validated in different settings [10,12–14,38,44,45]. Variables included are ischemic etiology, LVEF, mean blood pressure, heart rate, a QRS width of 120 milliseconds or more, serum sodium, and peak VO2. The model divides patients into risk groups: low, moderate, and high. The SHFM is the most validated model for HF prognostication [23], both in clinical trials [46–48] and in community-based heart failure studies [49]: even though effective and efficient, the SHFM is complex, being a 20-variable model, including age, sex, weight, LVEF, systolic blood pressure, NYHA class, daily diuretic dose, sodium, hemoglobin, percent lymphocytes, uric acid, and total cholesterol. In addition, ACE enzyme inhibitors, angiotensin receptor blockers, aldosterone blockers, beta-blockers, statins, and devices are taken into account. Data aggregation is demanding, but score definition is alleviated by online and downloadable versions of calculators. The SHFM may be used if peak VO2 cannot be obtained, due to the inability to exercise, or if peak VO2 is unreliable, due to low respiratory exchange ratio.

The HF-ACTION predictive risk score model is a new HF score [11]: 48 candidate variables were analyzed, including demographic data, medical history, laboratory values, exercise parameters from maximal treadmill CPET, and measures of quality of life and depression. Exercise duration on CPET, Kansas City Cardiomyopathy Questionnaire symptom stability score, higher serum urea nitrogen, and male sex formed the best predictive model for the primary end-point (all-cause mortality or hospitalization).

Each HF score has constructive and drawback features: the SHFM is a complex 20-variable model and it uses NYHA class as a surrogate for peak VO2, the HFSS is a 7-variable model that requires peak VO2, often available only in specialized referral centers, and the HF-action score promotes a 4-variables mode, in HF patients performing a maximal CPET, without including peak VO2.

Do we need another HF score? The MECKI score is a “CPET-centered” score, and it emphasizes a modern interpretation of CPET results. For the first time, VE/VCO2 and % of predicted VO2 (rather than peak VO2) were included in the model. It is worthy of note that these two CPET variables were selected from 18 ergospirometric parameters, providing a desirable hierarchy, limiting the expanding number of CPET risk parameters applied to predict outcome. In the MECKI score, CPET scoring system was kept as simple as possible. In short, on one hand the MECKI score underlines the central role of CPET for risk stratification, and on the other hand it underscores that both ventilatory- and VO2-derived indexes should be considered. In addition, although the MECKI score is “CPET-centered”, providing a modern and sophisticated gas-exchange analysis, it underlines the opportunity to look “outside” the ergospirometry laboratory, and it corroborates that CPET risk utilization should be conducted in aggregation with non CPET outcome data [10,11].

5.3. Study limitations

This study has a few limitations, which should be considered when applying the MECKI score in the clinical setting. Firstly, we studied a Caucasian population with ~50% of patients suffering from idiopathic cardiomyopathy. Our population mainly consists in relatively young HF males capable to perform an exercise and with a low comorbidity rate. Therefore, the present population does not mirror the real medical world. Consequently the MECKI score is only applicable to HF subjects who have performed a maximal CPET. Moreover, we do not know if the MECKI score maintains its prognostic power in other HF populations, such as subjects not on optimized treatment, or in not Caucasian or elderly subjects. Regarding the HF population studied, it is acknowledged that, albeit we selected our HF population to avoid significant comorbidities which could directly affect exercise capacity and prognosis, we did not exclude from the present study patients with systemic hypertension, diabetes, and moderate COPD. Accordingly, we cannot rule out a specific role of these comorbidities on exercise capacity, on prognosis, and consequently on the MECKI score. The above-mentioned characteristics of our study population and particularly the capability of performing a CPET, make the comparison between our data and those of HF registry or epidemiological studies [23,32,50–53] difficult. Altogether the above reported limitations explain why we obtained a relative small number of patients per center. Secondly, we did not consider, on top of the NYHA classification, findings such as history of HF hospitalization, presence of mitral regurgitation, 3rd tone, right heart dysfunction, jugular distension, hepatomegaly, or peripheral edema, all surrogates of clinical severity. Thirdly, we observed a RER<1.0 in 330 (12%) patients, which suggests a submaximal exercise test. However, CPET was self-interrupted by the patients when they had reached maximal effort. Notably, in 74 out of 330 patients, exercise-induced periodic breathing was observed, questioning the value of RER as a parameter of peak exercise achievement. Moreover, it should be noted that the value of RER was not related to prognosis even at univariate analysis. Fourthly, we only analyzed ECGs for the presence of atrial fibrillation or for exercise-induced ECG changes. Therefore, the presence of intraventricular delay was not taken into account, albeit left bundle branch block is a recognized HF prognostic parameter. Neither BNP nor NT-pro BNP plasma level, obtained in 793 patients (29% of cases), were considered in the present analysis, because of jeopardized data, due to the different attitudes of the centers on this regard (routine use in a minority, different peptide assessment, different methods for the same peptide), not allowing pooling and statistical analysis. Fifth, several formulas are available to estimate renal function. We used the MDRD formula [18], but others have been used including the Cockcroft–Gault [54]. We arbitrarily chose MDRD because we are hoping for a widespread use of the MECKI score and racial differences are taken into account in MDRD but not in Cockcroft–Gault formula. Finally it should be acknowledged that, because the follow-up was long, many patients underwent to therapy upgrading, including ICD and CRT which may, per se, have influenced the prognosis.

5.4. In conclusion

In this is study parameters obtained from CPET have been combined to other prognostics variables derived from clinical, echocardiographic and laboratory settings in a large cohort of systolic HF patients followed in experienced centers. This allows us to build up a valuable long-term HF prognostic score. Indeed, the MECKI score combines peak VO2% of predicted, VE/VCO2 slope, LVEF, hemoglobin, Na+, and MDRD. Thus the MECKI score is built on only 4 easy to
obtain clinical variables on top of the two most known prognostic parameters obtained from CPET. Accordingly the MECKI score is a simple, reliable, easy to calculate, personalized heart failure prognostic tool. At present, MECKI is the long term prognostic score for systolic HF with the highest AUC [43]. However, the day-by-day use of the MECKI score as well as the comparisons with existing standards is the next steps needed.

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The authors of this manuscript have certified that they comply with the Principles of Ethical Publishing in the International Journal of Cardiology.

Appendix 1

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Appendix 2

Patients’ recruitment: 602 patients were recruited and followed at Centro Cardiologico Monzino, Milano, 334 at S. Maugeri Foundation, Cassano Murge: Daniela Santoro, Saba Campanale, Domenica Caputo, CNR-Milano: Renata De Maria, “S. Maugeri” Foundation, Tradate: Donatella Bertipaglia, Ospedali Riuniti and University of Trieste: Emanuela Berton.

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